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## CHIEF COMPLIANCE OFFICER

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### **Key responsibilities:**

- Responsible for identifying, assessing, monitoring, managing and reporting on compliance throughout the bank and ensuring compliance of the organization's activities in accordance with the applicable laws, regulatory requirements, policies and procedures.
- Apprise the Board and senior management on regulations, rules, standards and developments and provide clarification on any compliance related issues.
- Ensure sustenance of compliance through:
  - Development of a risk-oriented activity plan for compliance assessment and ensuring execution (at least once a year) and. The activity plan should be submitted to the Audit Committee of the Board (ACB) for approval and be made available to the internal audit.
  - Adherence to processes by performing sufficient and representative compliance testing. The results of the compliance testing should be placed to Board / ACB / Managing Director (MD).
- Report promptly to the Board / ACB / MD about any major changes / observations relating to the compliance risk.
- Ensure compliance of Supervisory observations made by RBI and/or any other directions in both letter and spirit in a time bound and sustainable manner.
- Lead sensitive interactions and correspondence with Regulators (RBI, SEBI and Stock Exchanges) including responding to calls for information.
- Interface with various departments to help implement changes / new regulations as mandated by the RBI and for other regulators.
- Hold responsibility as the Principal KYC Officer for the Bank (including interface, intervention, and regulation).

**Education:** Graduate from a recognized University/ Institution coupled with a recognized accounting qualification i.e., CA, CFA, CWA or MBA (Finance). Candidates with additional qualification like LLB / LLM / Company Secretary would be preferred.

### **Required experience:**

- Minimum 20 years of working experience with at least 5 years in a senior role; strong experience in areas including but not limited to risk management, operations, internal audit & controls, finance, treasury, vigilance, and legal functions within the banking industry with a blend of financial, operational, and regulatory compliance.
- Strong Stakeholder relationship management and negotiation skills; credibility to positively influence and manage key stakeholders within and outside the organization.

**Age:** Age shall be between 45 and 55 years, as on the date of advertisement.

**Term:** The contract shall be for a period of three years, extendable based on performance, at the option of the Bank.

**Remuneration:** Salary will be offered based on the candidate's qualifications, experience, overall suitability, last drawn salary, and market benchmark, and shall not be a limiting factor for the suitable candidate.

**Location:** Mumbai, India.

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Interested candidates (Indian Citizens) may send their CVs via email to [ccoeximbank@kornferry.com](mailto:ccoeximbank@kornferry.com)

**Last date for receipt of Applications: 22<sup>nd</sup> December 2023, 06.00 pm IST**

All applications will be held in strict confidence.

**The decision of the Search and Selection Committee of EXIM Bank will be final and binding.**

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